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3	<b>Automated Secure Configuration</b>
4	Guidance from the macOS
5	Security Compliance Project
6	(mSCP)
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8	Initial Public Draft
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#### **Abstract**

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- 89 The macOS Security Compliance Project (mSCP) provides resources that system administrators,
- security professionals, security policy authors, information security officers, and auditors can
- 91 leverage to secure and assess macOS desktop and laptop system security in an automated way.
- This publication introduces the mSCP and gives an overview of the resources available from the
- 93 project's GitHub site, which is continuously curated and updated to support each new release of
- 94 macOS. The GitHub site provides practical, actionable recommendations in the form of secure
- 95 baselines and associated rules. This publication also describes use cases for leveraging the mSCP
- ontent. Updates from the previous version of this publication mainly involve the new mSCP
- 97 capability to create a custom benchmark by tailoring a baseline.

### Keywords

- Apple; baseline; configuration management; endpoint device security; macOS; macOS Security
- 100 Compliance Project (mSCP); operating system security; security compliance.

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# 113 Supplemental Content

- The mSCP's GitHub site is at <a href="https://github.com/usnistgov/macos">https://github.com/usnistgov/macos</a> security#readme, and the
- project documentation wiki is at <a href="https://github.com/usnistgov/macos">https://github.com/usnistgov/macos</a> security/wiki.

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- Appendix C. The authors also thank Isabel Van Wyk from NIST for editing the document.
- Finally, portions of this document are based on content from the mSCP Wiki, so the work of all
- Wiki contributors is appreciated.

#### 1. Introduction

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- The National Institute of Standards and Technology (NIST) has traditionally published secure
- 205 configuration guides for Apple desktop/laptop operating system versions as prose-based Special
- Publications (SPs), such as NIST SP 800-179, Revision 1, Guide to Securing Apple macOS 10.12
- 207 Systems for IT Professionals: A NIST Security Configuration Checklist. In order to provide
- security configuration guidance to organizations more quickly and in a machine-consumable
- format, NIST established the open-source macOS Security Compliance Project (mSCP). NIST
- 210 no longer produces SP guidance documents for each macOS release; instead, the mSCP
- 211 continuously curates and updates machine-consumable macOS guidance. The latest macOS
- security baseline content is maintained and updated on the mSCP GitHub page [1].
- 213 Security baselines are groups of settings used to configure a system to meet a target level or set
- of requirements or to verify that a system complies with requirements. The mSCP seeks to
- simplify the macOS security development cycle by reducing the amount of effort required to
- 216 implement security baselines. This collaboration between federal agencies minimizes duplicate
- 217 effort that would otherwise be needed for these agencies to administer individual security
- baselines. Additionally, the secure baseline content provided is easily extensible by other parties
- 219 to implement their own security requirements.
- Organizations using mSCP content, particularly security baseline examples, should take a risk-
- based approach for selecting the appropriate settings and defining values that consider the
- 222 context under which the baseline will be utilized.

## 223 1.1. Purpose and Scope

- The purpose of this document is to introduce the mSCP to broader audiences. This document
- provides a high-level overview of the mSCP, its components, and some common use cases. It
- refers readers to the online project documentation for in-depth technical information and use
- instructions. This document is intended to be independent of macOS version releases; updates
- will be released as needed when there are substantial changes to the mSCP. Updates from the
- previous release of this document mainly involve the new mSCP capability to create a custom
- benchmark by tailoring a baseline.
- The information in this document regarding the details of the mSCP GitHub site is accurate as of
- the time of publication. Readers seeking the latest detailed information on mSCP content or the
- content itself should visit the mSCP GitHub page and wiki.
- Organizations that need to reference a NIST SP to demonstrate how they are complying with
- 235 United States Government mandates for adopting secure configurations for their macOS devices
- 236 may reference this SP instead of its deprecated predecessors, such as SP 800-179 or SP 800-179,
- Revision 1.

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#### 1.2. Audience

- 239 This document and the mSCP GitHub site are intended for system administrators, security
- professionals, policy authors, privacy officers, and auditors who have responsibilities involving

- 241 macOS security. Additionally, vendors of device management, security, configuration
- assessment, and compliance tools that support macOS may find this document and the GitHub
- site to be helpful.

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## 1.3. Relevance to NIST SP 800-70 and the National Checklist Program

- 245 The security baselines from the mSCP GitHub page are included in the National Checklist
- 246 Program. NIST SP 800-70, Revision 4 [2], explains that federal agencies are required to use
- 247 appropriate security configuration checklists from the National Checklist Program when
- available. Part 39 of the Federal Acquisition Regulations, Section 39.101 paragraph (c) states,

In acquiring information technology, agencies shall include the appropriate information technology security policies and requirements, including use of common security configurations available from the National Institute of Standards and Technology's website at <a href="https://checklists.nist.gov">https://checklists.nist.gov</a>. Agency contracting officers should consult with the requiring official to ensure the appropriate standards are incorporated.

### 1.4. Document Structure

- 257 The remaining sections and appendices of this document are as follows:
- Section 2 provides an overview of the project, including what its goals are and how its content can be used.
- Section 3 explains the major components of the mSCP and provides pointers to additional information on component usage.
- The References section lists the references for the document.
- Appendix A briefly discusses how mSCP can help meet the needs of people in several roles.
  - Appendix B provides examples of how a security professional might use mSCP content.
- Appendix C contains an example of how an assessment tool vendor could leverage mSCP content.
  - Appendix D lists selected acronyms and abbreviations used in this document.

## 2. Project Description

- The mSCP is an open-source project that provides a programmatic approach to generating and
- using macOS security configuration baselines. The project's content can be used to create
- customized security baselines of technical security controls by leveraging a library of rules, with
- each rule mapped to requirements in one or more existing security standards, regulations, or
- 275 frameworks. This approach provides versioning and consistency of the content. Unifying and
- standardizing macOS baseline efforts via the mSCP means that updating security guidance is
- simplified and radically accelerated, even as new versions of macOS are introduced annually.
- The mSCP started in August 2019 as a collaboration among operational IT security staff from
- NIST, the National Aeronautics and Space Administration (NASA), the Defense Information
- 280 Systems Agency (DISA), and the Department of Energy's (DOE) Los Alamos National
- Laboratory (LANL). The mSCP sought to map macOS settings to NIST SP 800-53, Revision 4
- 282 [3] with an extensible, modern approach to security guidance that could be used by any
- organization (e.g., government, enterprise, education) that needs to adhere to security compliance
- frameworks and policy.
- As of this writing, the configuration settings represent guidance and best practices from NIST SP
- 286 800-53, Revision 5 [4]; NIST SP 800-171, Revision 2 [5]; the macOS DISA Security Technical
- 287 Implementation Guide (STIG) [6]; the Committee on National Security Systems (CNSS)
- 288 Instruction (CNSSI) Number 1253 [7]; the Center for Internet Security (CIS) Critical Security
- 289 Controls Version 8 [8]; and internal organizational security guidance from NIST, NASA, and
- 290 LANL.

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## 2.1. Project Goals

- 292 Apple releases a new macOS version every year, and generally, agencies and organizations must
- 293 wait for guidance or accept risk before deploying the new macOS version. Most agencies or
- organizations must create their own internal security configuration, which delays the deployment
- of the new macOS version or new hardware that only supports the new macOS version. The
- 296 mSCP assists organizations in upgrading sooner. Generally, the technical security settings in
- 297 macOS do not drastically change from release to release, with only a handful of new settings
- being introduced. By pursuing a rules-based approach, mSCP rules that remain applicable can be
- 299 reused and incorporated into guidance for the latest macOS version. This enables quicker
- adoption of new security features that are not offered in prior versions of macOS.
- The goals of the mSCP are to:
  - Develop recommended security baselines using a risk-based approach
  - Normalize and accelerate annual adoption of the new operating system and hardware by providing guidance to meet the security needs of new operating systems at the earliest availability
  - Reduce worldwide efforts in creating annual guidance by unifying and consolidating compliance efforts into a single project

<sup>&</sup>lt;sup>1</sup> See <a href="https://github.com/usnistgov/macos">https://github.com/usnistgov/macos</a> security#authors for a current list of project contributors.

- Develop a methodology to foster collaboration between baseline authors, reducing
   overhead and redundancy
  - Establish a unified approach for the configuration and assessment of controls across multiple sources and tools
    - Enable the customization of existing content and the creation of new content, including creating custom baselines in order to meet organization-specific security requirements
    - Provide device management and security tool vendors, auditors, and Apple insight into customer security configuration needs

### 2.2. mSCP Content Use

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- 317 mSCP content can be used by any organization to assist in setting and assessing the security
- 318 configuration of macOS systems. Security baselines can map to existing guidance or controls,
- such as those in NIST SP 800-53, Revision 5 [4], or they can be customized to meet an
- organization's specific needs. In mSCP terminology, a security baseline is represented as a
- 321 baseline file that designates the rules for meeting a specific set of requirements. The mSCP
- provides a library of *rules* that are macOS settings. Each rule is mapped to a requirement within
- a security standard or framework. Baseline files and rules comprise much of the mSCP's content.
- 324 The mSCP offers several example baselines with descriptions adapted from Federal Information
- 325 Processing Standards (FIPS) 199 [9], such as:
- The *SP 800-53*, *Revision 5 low baseline* is a defined map of controls to secure a system defined as a low-impact information system. The loss of confidentiality, integrity, or availability could be expected to have a **limited** adverse effect on organizational operations, organizational assets, or individuals.
  - The *SP 800-53*, *Revision 5 moderate baseline* is a defined map of controls to secure a system defined as a moderate-impact information system. The loss of confidentiality, integrity, or availability could be expected to have a **serious** adverse effect on organizational operations, organizational assets, or individuals.
  - The *SP 800-53*, *Revision 5 high baseline* is a defined map of controls to secure a system defined as a high-impact information system. The loss of confidentiality, integrity, or availability could be expected to have a **severe or catastrophic** adverse effect on organizational operations, organizational assets, or individuals.
- Organizations using any baseline example should take a risk-based approach for selecting the
- appropriate settings and organizationally defined values depending on the context under which
- 340 the baseline will be applied. Organizations can tailor any of the baselines to include controls
- 341 specific to their needs and to produce evidence of control enforcement. Additional information
- on baseline customization can be found in SP 800-70 [2], which discusses the importance of
- customizing and testing baselines before applying them to a production system.
- 344 The mSCP provides scripts that can be used with baselines for several purposes, including the
- 345 following:
  - Creating scripts and profiles for configuring macOS

347 Generating a mapping between security standards, regulations, frameworks, etc. 348 Producing human-readable documentation in a variety of formats 349 Customizing existing baselines 350 mSCP content can also be used to generate Security Content Automation Protocol (SCAP) 351 content for automated security compliance scans. The SCAP generated follows the SCAP 1.3 352 specification [10]. The generation of SCAP content uses an Extensible Stylesheet Language 353 Transformations (XSLT) file to create an Extensible Configuration Checklist Description Format 354 (XCCDF) checklist document with an accompanying Open Vulnerability and Assessment 355 Language (OVAL) document. 356 The XCCDF and OVAL documents are bundled into an SCAP data stream collection document 357 with accompanying files that include Common Platform Enumeration (CPE) dictionary [11] 358 information and an Open Checklist Interactive Language (OCIL) document. This creates an 359 SCAP 1.3 document that validates using the NIST SCAP Content Validation Tool<sup>2</sup> and can be 360 used by SCAP tools on macOS. More information on SCAP content generation is available at the 361 project wiki.

<sup>&</sup>lt;sup>2</sup> See <a href="https://csrc.nist.gov/projects/security-content-automation-protocol/scap-releases/scap-1-3">https://csrc.nist.gov/projects/security-content-automation-protocol/scap-releases/scap-1-3</a>.

### 3. mSCP Components

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- 364 This section provides an overview of several components of the mSCP: security baseline files,
- 365 configuration profiles and scripts, content generation scripts, customization capabilities, and
- directories. More information about all of these is available at the GitHub wiki.

#### 3.1. Baselines and Benchmarks

- 368 The mSCP includes both baselines and benchmarks. A baseline is a catalog of recommended
- 369 configuration settings, not a checklist or benchmark, and should be customized based on the
- organization's risk profile. Implementing every item is not likely to be possible or sensible in
- many operational scenarios. Baselines can be used to assist in the creation of security
- benchmarks. A benchmark differs from a baseline in that it defines values in addition to a set of
- controls. Benchmarks are published by organizations that have made risk-based decisions, such
- as DISA and CIS. Organizations can also define their own benchmark. These values are called
- Organization-Defined Values (ODVs), and they exist throughout the baselines and can be set
- 376 during customization.

#### 3.2. Security Baseline Files

- In the mSCP, a security baseline is defined in a Yet Another Markup Language (YAML) file. A
- 379 YAML file is a human-readable file format commonly used by configuration files where data are
- 380 stored and/or transmitted. A baseline YAML file consists of the following required fields:
- title A human-readable name for the baseline
- **description** A short description of the baseline, including its use case and target operating system (OS) version
- authors Developers of the baseline
  - **profile** The security content portion of the baseline
  - o **section** A keyword for organizing settings
- o rules The names of the rule files that are a part of this baseline
- 388 The following code provides a partial example of a YAML file that illustrates the use of these
- 389 fields (with field names bolded):

```
390
391
      title: "Apple macOS 11 (Big Sur) Test Baseline"
392
        This guide describes the prudent actions to take when securing a macOS 11
393
      system against the Test Baseline.
394
      authors: |
395
396
         Joe Doe NIST
397
398
399
      profile:
        - section: "Authentication"
400
           rules:
401
              auth_pam_login_smartcard_enforce
402
               auth_pam_su_smartcard_enforce
403
             auth_pam_sudo_smartcard_enforce
        auth_smartcard_allowsection: "Auditing"
404
405
406
           rules:
407
               audit_acls_files_configure
408
409
             audit_acls_files_mode_configure
              audit_acls_folder_wheel_configure
```

Fig. 1. Security Baseline YAML File.

### 3.2.1. Rule File Composition

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- A YAML rule file is broken down into the following subsections. This list and the following example are from the Rules section of the mSCP wiki.
- id The id should match the file name without the vaml file extension.
- title The title is a human-readable title of the rule.
  - **discussion** The discussion should provide a concise description of the intended use of the rule.
    - **check** Every rule will have a **check**. A shell-based check should be able to validate and check most rules.
    - **result** Expected results from the check.
    - **fix** The fix will appear in a document when generated. If a fix includes [source, bash], the fix will be used for generating the script to enforce the rule.
    - references The references include a Common Configuration Enumeration (CCE) identifier and a mapping of the security frameworks, guidance, and individual controls that have been mapped to the rule. See the official repository of NIST CCEs [12] for more information.
    - macos The validated macOS version for this rule.
    - **odv** If a rule supports the ODV functionality, then the odv section should be present. At a minimum, this field should contain a hint (provides a description when tailoring a baseline) and a default value that replaces the \$ODV variable.

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- tags Tags are keywords used to categorize and identify related rules, and they can be added to or modified as needed. Tags can also be used to make index-based searching of the rules faster and easier.
  - **severity** The severity level specified in the DISA STIG, if applicable.
  - **mobileconfig** The mobileconfig and mobileconfig\_info subsections are related. If mobileconfig is set to true, the information required for creating the mobileconfig configuration profile is required in the mobileconfig\_info area.
  - The following code provides a notional example of a YAML rule file (with field names bolded):

```
id: sysprefs_screensaver_timeout_enforce
title: "Enforce Screen Saver Timeout"
discussion: |
439
440
441
442
         The screen saver timeout _MUST_ be set to $ODV seconds or a shorter length
443
444
       of time.
445
         This rule ensures that a full session lock is triggered within no more than
446
       $ODV seconds of inactivity.
447
448
449
450
451
452
453
       check:
          /usr/bin/osascript -l JavaScript << EOS
          function run() {
            let timeout =
       ObjC.unwrap($.NSUserDefaults.alloc.initWithSuiteName('com.apple.screensaver')
          .objectForKey('idleTime'))
454
            if ( timeout <= $ODV ) {</pre>
455
456
457
458
459
              return("true")
            } else {
              return("false")
460
         EOS
461
462
       result:
         string: "true"
463
464
         This is implemented by a Configuration Profile.
465
       references:
466
         cce:
467
            - CCE-91074-5
468
          cci:
469
            - CCI-000057
470
         800-53r5:
471
            - AC-11
            - IA-11
472
473
         800-53r4:
474
            - AC-11
475
         srg:
476
477
478
            - SRG-OS-000029-GPOS-00010
         disa_stig:
- APPL-12-000004
479
         800-171r2:
480
            - 3.1.10
481
482
         cis:
            benchmark:
483
               - 2.3.1 (level 1)
484
            controls v8:
485
              - 4.3
       macos:
- "12.0"
486
```

```
488
        odv:
489
           hint: "Number of seconds."
4<u>9</u>0
           default: 1200
491
           stig: 900
492
493
           cis_lvl1: 1200
cis_lvl2: 1200
494
495
        tags:
           - 800-53r5_moderate
- 800-53r5_high
- 800-53r5_low
496
497
498
           - 800-53r4_moderate
499
           - 800-53r4_high
500
           - 800-171
500
501
502
503
504
505
           - cnssi-1253
           cis_lvl1
           - cis_lvl2
            - cisv8
             stig
        severity: "medium" mobileconfig: true mobileconfig_info:
506
507
508
           com.apple.screensaver:
509
510
              idleTime: $ODV
```

Fig. 2. YAML Rule File

## 3.2.2. Rule File Categories

- The mSCP organizes YAML files in the rules directory into the following subdirectories, each of which corresponds to a category of settings:
- audit OpenBSM<sup>3</sup>

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512

- **auth** Smartcard authentication
- icloud Apple's iCloud/Apple ID service
- os Rules to configure the operating system that do not fit into the other categories
- pwpolicy Password policy
- system\_settings or sysprefs Settings controlled within the System Settings or System Preferences application

The rules directory also includes a **supplemental** subdirectory, which contains additional information that supports the guidance provided by the baselines. Supplemental content contains

information for rules that are not part of an existing baseline but could be beneficial for certain

- use cases. Supplemental content may not have mappings and may not contain the YAML rule
- 526 file check and fix sections mentioned in Section 3.2.1. Supplemental content can be added to
- enhance baselines where organizational requirements are different than the system baseline
- 528 requirements.

<sup>&</sup>lt;sup>3</sup> See OpenBSM at <a href="https://github.com/openbsm/openbsm">https://github.com/openbsm/openbsm</a>.

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## 3.3. Configuration Profiles and Scripts

- When an mSCP YAML file is processed, it yields a configuration script and/or configuration
- profile (mobileconfig file) as outputs. Both are used to apply configuration settings to a system.
- A configuration profile is an Extensible Markup Language (XML) formatted file with a
- 533 mobileconfig extension that contains a configuration payload. macOS can automatically
- configure itself based on a mobileconfig file's contents upon execution. Configuration profiles
- offer a convenient, Apple-supported mechanism for applying security settings to a macOS
- environment. Additionally, they can be cryptographically signed to ensure integrity and
- authenticity. These factors make configuration profiles the preferred vehicle for configuration
- delivery. However, mobileconfig files cannot modify all macOS settings, so a configuration
- script is needed for those settings that are not supported. See the developer documentation page
- for an example configuration profile and brief descriptions of its properties.
- A configuration script is a shell script that directly manipulates operating system files. The script
- content is derived from all YAML rule files that have a mobileconfig value of false and
- belong to the specified baseline. The YAML rule file must contain the fix section in order to
- generate its corresponding configuration script entry.

# 3.4. Content Generation Scripts

- The mSCP provides several types of scripts for generating baselines, human-readable guidance,
- baseline compliance checkers, and other types of content. Each script is described below.

### 548 **3.4.1. Generate Baseline Script**

- The generate\_baseline.py Python script compiles a list of security rules into a single baseline
- YAML file. It can be used to modify an existing security baseline or create a new one. See the
- wiki for additional information.

#### **3.4.2. Generate Guidance Script**

- The generate\_guidance.py script can produce human-readable guidance and generate the
- macOS Security Compliance Tool, which is a Z shell script.
- The generate\_guidance.py script takes a baseline file and produces a human-readable guide
- with information from the YAML rules files. The script can create documentation in several
- formats but always generates an AsciiDoc file. AsciiDoc (.adoc) is a plain text format that uses
- markup conventions for traditional document formatting and organization. AsciiDoc files are
- easily transformable into many other formats via the generate\_quidance.py script, including
- 560 HTML, PDF, and Excel. The Excel format is particularly useful for quickly viewing all of the
- rules of a baseline, and it contains all of the data in the YAML rules files.
- The generate\_guidance.py script can also create configuration profiles (mobileconfig files)
- and the macOS Security Compliance Tool. Using the -s argument, the generate\_guidance.py
- script will generate an org. {baseline}. audit.plist file and another script, the macOS
- Security Compliance Tool, that can check and remediate compliance settings. The audit.plist

- 566 file can be used to set an exemption to organizational rules for approved users so that compliance
- checks can succeed without findings. To create an exemption for a rule, the exempt field should
- be set to true and an exempt\_reason should be added.
- See the wiki for more information on the generate\_guidance.py script.

#### 3.4.3. macOS Security Compliance Tool

- The {baseline}\_compliance.sh script runs interactively by default. It can evaluate a system's
- 572 conformance to a baseline or remediate any incorrectly configured settings. Alternatively, the
- script can autonomously assess a system with the -check argument or automatically remediate
- 574 baseline settings with -fix.

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575 The lines below provide an example of the results of running the script:

```
Thu Jan 21 15:09:41 UTC 2021 auth_pam_login_smartcard_enforce passed (Result: 2, Expected: {integer: 2})
Thu Jan 21 15:09:41 UTC 2021 auth_smartcard_allow passed (Result: 1, Expected: {integer: 1})
Thu Jan 21 15:09:41 UTC 2021 auth_pam_sudo_smartcard_enforce passed (Result: 2, Expected: {integer: 2})
Thu Jan 21 15:09:41 UTC 2021
auth_smartcard_certificate_trust_enforce_moderate passed (Result: 2, Expected: {integer: 2})
Thu Jan 21 15:09:41 UTC 2021 auth_smartcard_enforce has an exemption (Reason: Broken Reader)
```

Fig. 3. Compliance Script Sample Output.

588 For more information on the macOS Security Compliance Tool script, see the wiki.

#### 589 3.4.4. SCAP Generation Script

- The SCAP generation script, generate\_scap.py can generate an SCAP 1.3 document, XCCDF
- document, or OVAL file. The script builds content from available tags within the YAML files
- and does not need to be pointed to a baseline file.
- For more information, see the wiki.

#### 3.4.5. Generate Mapping Script

- 595 The generate\_mapping.py script allows for the quick creation of custom rules and baselines for
- a compliance framework not published by the mSCP. The script requires a user-created comma-
- separated values (CSV) file containing control identifiers that maps to a new framework (CSV)
- column 1) from another already defined by the project (CSV column 2). By default, the script is
- designed to map a framework to the NIST SP 800-53, Revision 5 [4] set of controls. Adding the
- -f argument allows for mapping to another supported framework. See the wiki for more
- information on the generate\_mapping.py script.

#### 3.5. Customization

Organizations should make the risk-based decision on what controls and rules to use and how to apply them, as stated by NIST SP 800-53, Revision 5 controls PL-10 and PL-11. Customization allows organizations to generate their own customized content outside of that provided by the project. Additionally, it allows them to add content for internal-only controls, which are not suitable for inclusion in a global baseline. Customization primarily takes place within the custom folder. Here are examples of customizations supported by mSCP:

- Baselines: A baseline folder can be included within the custom folder to create customized baselines that fit an organization's needs. These baseline files may include rule, section, and template customization (discussed below). An existing baseline can be configured to create a custom benchmark. Additionally, it is possible to customize an included benchmark, but in doing so, it may no longer be compliant with the original requirements of that benchmark.
- Rules: Existing rules can have their setting values overridden via the custom folder instead of modifying the mSCP-supplied rule file. New rules can be created and added to existing baselines or to user-defined baselines. Organizations can create their own discussions, checks, results, fixes, and mappings of rules to security frameworks not included in the project. In order to override an existing rule, the custom rule file name must match an existing rule so that the generate\_guidance.py script will pick up the new values. New rules not included in mSCP must be listed in the baseline YAML file specified when running generate\_guidance.py. Additional information on custom rules can be found in an article written by mSCP contributor Allen Golbig [13].
- Sections: Custom sections can be used to organize existing or custom YAML rule files. Sections defined in the custom folder must be included in a baseline YAML file in order to be used by generate\_guidance.py.
- **Templates:** Custom templates can be used to define new template structures for the project and affect the organization and appearance of generated documentation. The template files must match the name of an existing template and will override that template when running generate\_guidance.py.
- **Logos:** An organization can include a custom logo when running the generate\_guidance.py script by using the -1 argument to point to an image file.
- Tailoring: The generate\_baseline.py script allows for a baseline to be tailored using the -t argument. During the tailoring process, the script will prompt for each control containing an ODV to have its values customized. If a value is not supplied to a control with an ODV, it will use the default value in the rule file. Refer to Appendix C for an example of tailoring with ODVs.

#### 3.6. Directories

- mSCP source code releases available on the mSCP GitHub include the following directories:
- **baselines** Contains the defined YAML baseline files

641 build – Holds scripts, documents, and configuration profiles generated by running 642 scripts 643 custom – Used for creating customized baselines, rules, sections, or templates to meet an organization's requirements 644 645 includes – Contains the YAML-based libraries required for running the scripts rules – Contains YAML rule files with one rule per file 646 647 scripts – Contains the content generation scripts and their required files 648 sections – Defines the sections that correlate to the directories in the rules folder; each 649 section has its own YAML file that contains the section name and description as it will appear in the generated guide, which is human-readable documentation 650 651 templates – Includes AsciiDoc templates for generating an AsciiDoc guide

#### References

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  - [4] Joint Task Force (2020) Security and Privacy Controls for Information Systems and Organizations. (National Institute of Standards and Technology, Gaithersburg, MD), NIST Special Publication (SP) 800-53, Rev. 5. Includes updates as of December 10, 2020. <a href="https://doi.org/10.6028/NIST.SP.800-53r5">https://doi.org/10.6028/NIST.SP.800-53r5</a>
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   Selection for National Security Systems. (National Security Agency, Ft. Meade, MD),
   Committee on National Security Systems Instruction (CNSSI) No. 1253. Available at
   <a href="https://www.cnss.gov/CNSS/issuances/Instructions.cfm">https://www.cnss.gov/CNSS/issuances/Instructions.cfm</a>
- 678 [8] Center for Internet Security (2023) CIS Critical Security Controls Version 8. Available at <a href="https://www.cisecurity.org/controls/v8/">https://www.cisecurity.org/controls/v8/</a>
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   Categorization of Federal Information and Information Systems. (U.S. Department of
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## Appendix A. mSCP User Roles

- The mSCP was designed to meet the needs of different security roles. These perspectives are
- 697 briefly examined below.

- 698 **Security policy authors** define the policies for their organizations. The customization and ease
- of extensibility offered by the mSCP facilitate new content creation. Policy authors will need to
- familiarize themselves with the YAML rule file format described in Section 3.2.1. Of particular
- interest is the ability to map rules directly to references. Additionally, the generate mapping
- script (Section 3.4.5) enhances portability between compliance frameworks.
- 703 System administrators and security professionals are responsible for configuring the systems
- under their purview. They implement the guidance issued by security policy authors. Security
- professionals may wish to generate baselines (Section 3.4.1), guidance (Section 3.4.2), and
- 706 configuration using the macOS Security Compliance Tool (Section 3.4.3).
- 707 Auditors approach macOS security compliance from a validator perspective, seeking proof that
- a system is configured in the required way. They are more interested in system setting
- documentation and compliance evidence than technical tools, such as configuration scripts. Both
- of these needs can be met by mSCP tools. The generate guidance script (Section 3.4.2) provides
- 711 the necessary documentation in a variety of formats, including HTML, PDF, and Excel. The
- macOS Security Compliance Tool (Section 3.4.3) assesses a system and produces a log of the
- results. Additionally, some auditors may be interested in examining YAML rule content directly
- 714 (Section 3.2.1).
- 715 **Information security officers** have a variety of goals but are ultimately responsible for ensuring
- that systems are configured according to their organizational requirements. To accomplish this,
- 717 they need policy documentation (Section 3.4.2) and the results of compliance scans (Section
- 718 3.4.3). Information security officers may also be responsible for reviewing the security rules
- proposed by the policy authors. If this is the case, they may be interested in YAML rule file
- 720 components (Section 3.2.1).
- Vendors of device management, security, configuration assessment, and compliance tools
- can produce a series of audit files based on mSCP content to support different macOS versions
- and associated security baselines. These audit files are maintained, tested, published, and
- supported by the tool vendors. Tool customers can download and import the content into the tool
- to assess the state of their system against a particular baseline in an automated way.
- Specific audit files of the mSCP by tool vendors are described on the project wiki page. This
- content will be updated as contributing tool vendors develop new audit content.

## Appendix B. Example of mSCP Usage by a Security Professional

- 729 This appendix provides examples of how a security professional might use mSCP content.
- People in other roles might perform some of the same actions. The examples illustrated below 730
- 731 were accurate at the time of publication, but see the mSCP wiki for up-to-date usage guidance.
- 732 Note that the mSCP scripts are not meant to replace enterprise-class configuration and
- 733 management tools. Configurations should be tested on development systems before being
- 734 deployed on end users' systems.

#### Preparing to use mSCP

- All project components are available from the mSCP GitHub page [1] by navigating to 736
- 737 Releases and downloading the latest source code revision for the desired macOS version.
- 738 Alternatively, the project source code can be downloaded via git, as the example below
- 739 illustrates.

728

735

```
git — -zsh — 80×24
testuser@Test git % git clone https://github.com/usnistgov/macos_security.git
Cloning into 'macos_security'...
remote: Enumerating objects: 8984, done. remote: Counting objects: 100% (3421/3421), done.
remote: Compressing objects: 100% (1515/1515), done.
remote: Total 8984 (delta 2868), reused 2307 (delta 1904), pack-reused 5563
Receiving objects: 100% (8984/8984), 2.38 MiB | 21.55 MiB/s, done.
Resolving deltas: 100% (7494/7494), done.
testuser@Test git % 📕
```

Fig. 4. Downloading the mSCP code.

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> mSCP components rely on prerequisite software listed on the Getting Started page, and any missing software must be installed.

## Changing code branches and generating a baseline

746 After obtaining a copy of the source code, change the directory to the mSCP git folder,

747 macos\_security.

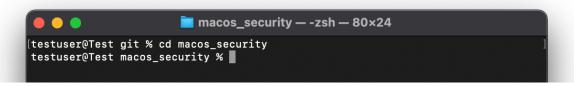


Fig. 5. Changing the directory to the mSCP git folder.

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751 Next, select the appropriate code branch that corresponds to the target OS version. Then choose a

752 baseline and use the generate\_baseline.py script to create a baseline YAML file. The

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example below illustrates these steps for the NIST SP 800-53, Revision 5 moderate baseline for macOS Big Sur.

```
macos_security — -zsh — 96×24

[testuser@Test macos_security % git checkout big_sur

Branch 'big_sur' set up to track remote branch 'big_sur' from 'origin'.

Switched to a new branch 'big_sur'

[testuser@Test macos_security % ./scripts/generate_baseline.py -k 800-53r5_moderate

testuser@Test macos_security %
```

Fig. 6. Changing code branches and generating a baseline.

Creating the macOS Security Compliance Tool and configuration profiles

Using the generate\_guidance.py script, create the macOS Security Compliance Tool and configuration profiles. The example below illustrates this, continuing from the previous example.

```
macos_security — -zsh — 102×24
testuser@Test macos_security % ./scripts/generate_guidance.py -s -p baselines/800-53r5_moderate.yaml
Profile YAML: baselines/800-53r5_moderate.yaml
Output path: /Users/testuser/git/temp/macos_security/build/800-53r5_moderate/800-53r5_moderate.adoc
Generating configuration profiles...
Configuration profile written to /Users/testuser/git/temp/macos_security/build/800-53r5_moderate/mobil
econfigs/unsigned/com.apple.security.smartcard.mobileconfig
Settings plist written to /Users/testuser/git/temp/macos_security/build/800-53r5_moderate/mobileconfig
s/preferences/com.apple.security.smartcard.plist
Configuration profile written to /Users/testuser/git/temp/macos_security/build/800-53r5_moderate/mobil
econfigs/unsigned/com.apple.applicationaccess.mobileconfig
Settings plist written to /Users/testuser/git/temp/macos_security/build/800-53r5_moderate/mobileconfig
s/preferences/com.apple.applicationaccess.plist
Configuration profile written to /Users/testuser/git/temp/macos_security/build/800-53r5_moderate/mobil
econfigs/unsigned/com.apple.SetupAssistant.managed.mobileconfig
Settings plist written to /Users/testuser/git/temp/macos_security/build/800-53r5_moderate/mobileconfig
s/preferences/com.apple.SetupAssistant.managed.plist
Configuration profile written to /Users/testuser/git/temp/macos_security/build/800-53r5_moderate/mobil
econfigs/unsigned/com.apple.mDNSResponder.mobileconfig
Settings plist written to /Users/testuser/git/temp/macos_security/build/800-53r5_moderate/mobileconfig
s/preferences/com.apple.mDNSResponder.plist
Configuration profile written to /Users/testuser/git/temp/macos_security/build/800-53r5_moderate/mobil
econfigs/unsigned/com.apple.applicationaccess.new.mobileconfig
Settings plist written to /Users/testuser/git/temp/macos_security/build/800-53r5_moderate/mobileconfig
s/preferences/com.apple.applicationaccess.new.plist
```

Fig. 7. Generating the compliance checker script and configuration profiles.

Running a compliance scan

As the example below shows, the macOS Security Compliance Tool is typically run with administrator privileges so that it can access all of the settings.

```
macos_security — -zsh — 102×24

[testuser@Test macos_security % sudo ./build/800-53r5_moderate/800-53r5_moderate_compliance.sh
```

Fig. 8. Running the compliance checker script.

The example below shows the main menu presented by the macOS Security Compliance Tool.

```
macos_security — zsh < sudo — 82×24

M A I N - M E N U
macoS Security Compliance Tool

Last compliance scan: No scans have been run

1. View Last Compliance Report
2. Run New Compliance Scan
3. Run Commands to remediate non-compliant settings
4. Exit
Enter choice [ 1 - 4 ] 2
```

Fig. 9. Selecting "Run New Compliance Scan" from the main menu.

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Selecting option 2, "Run New Compliance Scan," from the main menu launches the scan. The example below shows output from the scan, which in this case reflects numerous rule failures, each indicating a deviation from the expected configuration.

```
macos_security — zsh < sudo — 82×24
Thu Nov 18 19:39:25 UTC 2021 sysprefs_screensaver_ask_for_password_delay_enforce
ailed (Result: 0, Expected: {integer: 1})
Thu Nov 18 19:39:25 UTC 2021 sysprefs_screensaver_password_enforce failed (Result:
0, Expected: {integer: 1})
Thu Nov 18 19:39:25 UTC 2021 sysprefs_screensaver_timeout_enforce failed (Result:
, Expected: {string: Yes})
Thu Nov 18 19:39:25 UTC 2021 sysprefs_siri_disable failed (Result: 0, Expected: {i
nteger: 1})
Thu Nov 18 19:39:25 UTC 2021 sysprefs_smbd_disable failed (Result: 0, Expected: {i
nteger: 1})
Thu Nov 18 19:39:25 UTC 2021 sysprefs_ssh_disable failed (Result: 0, Expected: {in
teger: 1})
Thu Nov 18 19:39:25 UTC 2021 sysprefs_system_wide_preferences_configure failed (Re sult: 0, Expected: {integer: 1})
Thu Nov 18 19:39:25 UTC 2021 sysprefs_time_server_configure failed (Result: , Expe
cted: {string: time-a.nist.gov,time-b.nist.gov})
Thu Nov 18 19:39:25 UTC 2021 sysprefs_time_server_enforce failed (Result: 0, Expec
ted: {integer: 1})
Thu Nov 18 19:39:25 UTC 2021 sysprefs_token_removal_enforce failed (Result: 0, Expected: {integer: 1})
Thu Nov 18 19:39:25 UTC 2021 sysprefs_touchid_unlock_disable failed (Result: 0, Expected: {integer: 1})
Results written to /Library/Preferences/org.800-53r5_moderate.audit.plist
Press [Enter] key to continue...
```

Fig. 10. Compliance scan output.

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Selecting option 1, "View Last Compliance Report," from the main menu displays a summary of the compliance report results. The example below depicts results indicating that 30 tests passed and 108 tests failed for an overall score of 21.74 % compliant.

```
macos_security — zsh < sudo — 82×24

M A I N - M E N U
macOS Security Compliance Tool

Last compliance scan: Thu Nov 18 14:39:21 EST 2021

1. View Last Compliance Report
2. Run New Compliance Scan
3. Run Commands to remediate non-compliant settings
4. Exit
[Enter choice [ 1 - 4 ] 1

Number of tests passed: 30
Number of test FAILED: 108
You are 21.74% percent compliant!
Press [Enter] key to continue...
```

Fig. 11. Viewing a compliance report.

#### Fixing non-compliant settings

Selecting option 3, "Run Commands to remediate non-compliant settings," begins the process of fixing non-compliant settings discovered during a previous compliance scan. The example below illustrates the disclaimer to be reviewed and accepted before fixes are initiated. This disclaimer indicates the potential risk in applying fixes.

```
MAIN - MENU
macOS Security Compliance Tool

Last compliance scan: Thu Nov 18 14:39:21 EST 2021

1. View Last Compliance Report
2. Run New Compliance Scan
3. Run Commands to remediate non-compliant settings
4. Exit
[Enter choice [ 1 - 4 ] 3
THE SOFTWARE IS PROVIDED "AS IS" WITHOUT ANY WARRANTY OF ANY KIND, EITHER EXPRESS ED, IMPLIED, OR STATUTORY, INCLUDING, BUT NOT LIMITED TO, ANY WARRANTY THAT THE SOFTWARE WILL CONFORM TO SPECIFICATIONS, ANY IMPLIED WARRANTIES OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE, AND FREEDOM FROM INFRINGEMENT, AND ANY WARRANTY THAT THE DOCUMENTATION WILL CONFORM TO THE SOFTWARE, OR ANY WARRANTY THAT THE SOFT WARE WILL BE ERROR FREE. IN NO EVENT SHALL NIST BE LIABLE FOR ANY DAMAGES, INCLUDING, BUT NOT LIMITED TO, DIRECT, INDIRECT, SPECIAL OR CONSEQUENTIAL DAMAGES, ARISING OUT OF, RESULTING FROM, OR IN ANY WAY CONNECTED WITH THIS SOFTWARE, WHETHER OR NOT BASED UPON WARRANTY, CONTRACT, TORT, OR OTHERWISE, WHETHER OR NOT INJURY WAS SUSTAINED BY PERSONS OR PROPERTY OR OTHERWISE, AND WHETHER OR NOT LOSS WAS SUSTAINED FROM, OR AROSE OUT OF THE RESULTS OF, OR USE OF, THE SOFTWARE OR SERVICES PROVIDED HEREUNDER. WOULD YOU LIKE TO CONTINUE? [y/N]
```

Fig. 12. Disclaimer for non-compliant settings remediation.

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After the disclaimer statement is accepted, the fixes are applied to the system, as the example below illustrates.

```
macos_security — zsh < sudo — 82×24
Settings for: audit_flags_aa_configure already configured, continuing...
audit\_flags\_ad\_configure - Run the command(s) -> /usr/bin/grep -qE "^flags.*[^-]ad" '/etc/security/audit\_control || /usr/bin/sed -i.bak '/^flags/ s/$/,ad/' /etc/security/audit\_control; /usr/sbin/audit -s [y/N] y
Running the command to configure the settings for: audit_flags_ad_configure ...
Trigger sent.
audit_flags_ex_configure - Run the command(s)-> /usr/bin/grep -qE "^flags.*-ex" / etc/security/audit_control || /usr/bin/sed -i.bak '/^flags/ s/$/,-ex/' /etc/security/audit_control; /usr/sbin/audit -s [y/N] \bf y
Running the command to configure the settings for: audit_flags_ex_configure ...
Trigger sent.
audit_flags_fd_configure - Run the command(s)-> /usr/bin/grep -qE "^flags.*-fd" /
ty/audit_control;/usr/sbin/audit -s [y/N] y

Running the command to configure the settings for: audit_flags_fd_configure ...
audit_flags_fm_configure - Run the command(s)-> /usr/bin/grep -qE "^flags.*-fm" /
etc/security/audit_control || /usr/bin/sed -i.bak '/^flags/ s/$/,-fm/' /etc/security/audit_control;/usr/sbin/audit -s [y/N] y
Running the command to configure the settings for: audit_flags_fm_configure ...
Trigger sent.
 audit_flags_fr_configure - Run the command(s)-> /usr/bin/grep -qE "^flags.*-fr" /
etc/security/audit_control || /usr/bin/sed -i.bak '/^flags/ s/$/,-fr/' /etc/security/audit_control;/usr/sbin/audit -s [y/N]
```

Fig. 13. Interactively configuring settings.

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## Appendix C. Example of Creating a Benchmark Using ODVs

This appendix provides an example of tailoring a baseline to create a custom benchmark using the generate\_baseline.py script. The screenshot below illustrates the first step to creating a benchmark.

```
scripts — -zsh — 72×5

scripts % ./generate_baseline.py -t -k
800-53r5_moderate
```

Fig. 14. Generate baseline command.

The -t option for generate\_baseline.py is used to customize the specified baseline. The script prompts for a name for the benchmark being created, as the example below shows.

```
scripts — generate_baseline.py -t -k 800-53r5_moderate — 72×5

scripts % ./generate_baseline.py -t -k
800-53r5_moderate
Enter a name for your tailored benchmark or press Enter for the default value (800-53r5_moderate):800-53r5_custom
```

Fig. 15. Prompt for benchmark name.

Next, for each rule that exists in the specified starting baseline, the script asks if it should be included in the custom benchmark. An example is shown below.

```
enter a name for your tailored benchmark or press Enter for the default value (8 00-53r5_moderate):800-53r5_custom

The inclusion of any given rule is a risk-based-decision (RBD). While each rule is mapped to an 800-53 control, deploying it in your organization should be part of the decision-making process.

You will be prompted to include each rule, and for those with specific organizational defined values (ODV), you will be prompted for those as well.

Would you like to include the rule for "audit_acls_files_configure" in your benchmark? [Y/n/all/?]: Y
```

Fig. 16. Prompt for rule file inclusion.

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Entering a "?" in response to a rule being included will display a description of that rule, as illustrated below.

```
Would you like to include the rule for "audit_acls_folders_configure" in your be nchmark? [Y/n/all/?]: ?
Rule Details:
The audit log folder _MUST_ not contain access control lists (ACLs).

Audit logs contain sensitive data about the system and users. This rule ensures that the audit service is configured to create log folders that are readable and writable only by system administrators in order to prevent normal users from re ading audit logs.

Would you like to include the rule for "audit_acls_folders_configure" in your be nchmark? [Y/n/all]:
```

Fig. 17. Display rule description.

If a rule accepts an ODV, the script asks the user to enter their own value or use the default value displayed. The example below illustrates this.

```
Would you like to include the rule for "audit_folders_mode_configure" in your be nchmark? [Y/n/all/?]: y
Would you like to include the rule for "audit_records_processing" in your benchmark? [Y/n/all/?]: y
Would you like to include the rule for "audit_retention_configure" in your benchmark? [Y/n/all/?]: y
See man audit_control for possible values.
Enter the ODV for "audit_retention_configure" or press Enter for the recommended value (7d): 5d
Writing custom rule for audit_retention_configure to include value 5d
Would you like to include the rule for "audit_settings_failure_notify" in your benchmark? [Y/n/all/?]:
```

Fig. 18. Rule prompting for an ODV.

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#### Appendix D. Example of mSCP Usage by an Assessment Tool Vendor

- 827 This appendix provides an example of how an assessment tool vendor converted mSCP content
- 828 to their tool's proprietary format so that their tool could perform compliance checks against
- 829 mSCP baselines and rules. Refer to the mSCP GitHub wiki page for the most current list of tool
- 830 vendors and associated content that will support the mSCP baselines.
- 831 This example is for Tenable, Inc. They automated the conversion of mSCP YAML rules into
- 832 their .audit format using Python and YAML libraries. Programmatically approaching this
- 833 conversion allows for faster future releases and greater consistency, and it also maintains the
- 834 integrity of the source content. Because the YAML content is all command-driven, it is
- 835 converted to Tenable's CMD\_EXEC check type for use with the Unix plugin. The YAML rules
- 836 have a "tags" section that was used to create unique audit profiles related to common
- 837 frameworks. An example of these profiles can be seen in the audit file naming convention:
- 838 NIST\_macOS\_Big\_Sur\_800-171\_v1.4.0.audit
  - NIST\_macOS\_Catalina\_800-53r5\_high\_v1.5.0.audit
- 840 See Tenable's research highlight for more details.
- 841 The following example shows a YAML-to-audit-check conversion. The content has been
- 842 condensed and abbreviated for the purposes of comparison:

#### mSCP YAML

```
title: "Limit SSHD to FIPS 140 Validated Ciphers"
```

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If SSHD is enabled, then it \_MUST\_ be configured to limit the ciphers to

algorithms that are FIPS 140-validated. FIPS 140-2 is the current standard for validating that mechanisms used to access cryptographic modules utilize authentication that meets federal requirements.

Operating systems utilizing encryption \_MUST\_ use FIPS-validated mechanisms for authenticating to cryptographic modules.

NOTE: /etc/ssh/sshd\_config will be automatically modified to its original

state following any update or major upgrade to the operating system.

check: |

/usr/bin/grep -c "^Ciphers aes256-ctr,aes192-ctr,aes128-ctr" /etc/ssh/sshd\_config

860

861 862 result: integer: 1

863

#### **Tenable Audit Check**

```
864
865
       <custom_item>
                          "Darwin"
         system
866
         type
                          CMD_EXEC
                          "Big Sur - Limit SSHD to FIPS 140 Validated Ciphers"
"If SSHD is enabled, then it _MUST_ be configured to limit
867
868
         description :
869
       the ciphers to algorithms that are FIPS 140-validated.
870
871
       FIPS 140-2 is the current standard for validating that mechanisms used to
       access cryptographic modules utilize authentication that meets federal
       requirements.
```

```
Operating systems utilizing encryption _MUST_ use FIPS-validated mechanisms for authenticating to cryptographic modules.

NOTE: /etc/ssh/sshd_config will be automatically modified to its original state following any update or major upgrade to the operating system."

cmd : "/usr/bin/grep -c \"^Ciphers aes256-ctr,aes192-ctr,aes128-ctr\" /etc/ssh/sshd_config"
 expect : "1"

</custom_item>
```

882	Appendix E. List of Symbols, Abbreviations, and Acronyms
883	Selected acronyms and abbreviations used in this paper are defined below.
884 885	CCE Common Configuration Enumeration
886 887	CIS Center for Internet Security
888 889	CNSS Committee on National Security Systems
890 891	CNSSI Committee on National Security Systems Instruction
892 893	<b>DISA</b> Defense Information Systems Agency
894 895	FIPS Federal Information Processing Standards
896 897	<b>LANL</b> Los Alamos National Laboratory
898 899	mSCP macOS Security Compliance Project
900 901	NASA National Aeronautics and Space Administration
902 903	NIST National Institute of Standards and Technology
904 905	ODV Organization-Defined Value
906 907	<b>OVAL</b> Open Vulnerability and Assessment Language
908 909	SCAP Security Content Automation Protocol
910 911	SP Special Publication
912 913	STIG Security Technical Implementation Guide
914 915	XCCDF Extensible Configuration Checklist Description Format
916 917	YAML Yet Another Markup Language

## Appendix F. Change Log

- In March 2023, the following changes were made to this report:
- Made minor editorial changes throughout the report to improve clarity and usability
- Reformatted all content and revised front matter to follow the latest NIST technical report template
- Merged the content of the Executive Summary into Section 1 and deleted the Executive
   Summary section
- Section 1.1 Summarized updates from the previous release
- Section 3.1 Added a new subsection to define and discuss baselines and benchmarks
- Section 3.2.1 Updated descriptions to match the project wiki and changed the example rule file to one with an ODV
- Section 3.4.4 Changed from OVAL generation script to SCAP generation script
- Section 3.5 Added discussion on tailoring baselines and benchmarks using the generate baseline.py script and ODVs
- Appendix C Created a new appendix showing an example of how to tailor a baseline to create a custom benchmark